## Raw Sequence Listing Error Summary

SERIAL NUMBER:

## ERROR DETECTED SUGGESTED CORRECTION

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE The number/text at the end of each line "wrapped" down to the next line. Wrapped Nucleics This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping". The amino acid number/text at the end of each line "wrapped" down to the next line. Wrapped Aminos This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3, as this will prevent "wrapping". The rules require that a line not exceed 72 characters in length. This includes spaces. Incorrect Line Length The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs Misaligned Amino Acid between the numbering. It is recommended to delete any tabs and use spacing between the numbers. Numbering This file was not saved in ASCII (DOS) text, as required by the Sequence Rules. Non-ASCII Please ensure your subsequent submission is saved in ASCII text so that it can be processed. contain n's or Xaa's which represented more than one residue. Variable Length As per the rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing. A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid Patentin ver. 2.0 "bug" . Normally, Patentin would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. missing. If intentional, please use the following format for each skipped sequence: Skipped Sequences Sequence(s)\_ (OLD RULES) (2) INFORMATION FOR SEQ ID NO:X: (i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS") (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: This sequence is intentionally skipped Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s). missing. If intentional, please use the following format for each skipped sequence. **Skipped Sequences** Sequence(s) <210> sequence id number (NEW RULES) <400> sequence id number 000 Use of n's or Xaa's Use of n's and/or Xaa's have been detected in the Sequence Listing. (NEW RULES) Use of <220> to <223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents. Use of <213>Organism are missing this mandatory field or its response. (NEW RULES) are russing the <220>Feature and associated headings. Use of <220>Feature Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown" (NEW RULES) Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules) Please do not use "Copy to Disk" function of Patentin version 2.0. This causes a corrupted Patentin ver. 2.0 "bug"

Instead, please use "File Manager" or any other means to copy file to floppy disk.

file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing).

OIPE

RAW SEQUENCE LISTING DATE: 07/07/2000 PATENT APPLICATION: US/09/602,812 TIME: 17:25:19

Input Set : A:\P1467R2.txt

Output Set: N:\CRF3\07072000\1602812.raw

```
3 <110> APPLICANT: Adams, Camellia W.
              Presta, Leonard G.
              Sliwkowski, Mark X.
       <120> TITLE OF INVENTION: Humanized Anti-ErbB2 Antibodies and Treatment with
             Anti-ErbB2 Antibodies
   . 10 <130> FILE REFERENCE: P1467R2
W--> 12 <140> CURRENT APPLICATION NUMBER: US/09/602,812
    12 <141> CURRENT FILING DATE: 2000-06-23
    14 <150> PRIOR APPLICATION NUMBER: US 60/141,316
    15 <151> PRIOR FILING DATE: 1999-06-25
    17 <160> NUMBER OF SEQ ID NOS: 13
     19 <210> SEQ ID NO: 1
     20 <211> LENGTH: 107
     21 <212> TYPE: PRT
     22 <213> ORGANISM: Mus Musculus
     24 <400> SEQUENCE: 1
     25
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    26
                                               10
     28
        Gly Asp Arg Val Ser Ile Thr Cys Lys Ala Ser Gln Asp Val Ser
    29
                          20
                                               25
     31
        Ile Gly Val Ala Trp Tyr Gln Gln Arg Pro Gly Gln Ser Pro Lys
    32
                                               40
    34
        Leu Leu Ile Tyr Ser Ala Ser Tyr Arg Tyr Thr Gly Val Pro Asp
    35
                          50
                                               55
     37
        Arg Phe Thr Gly Ser Gly Ser Gly Thr Asp Phe Thr Phe Thr Ile
        Ser Ser Val Gln Ala Glu Asp Leu Ala Val Tyr Tyr Cys Gln Gln
    41
                          80
                                               85
        Tyr Tyr Ile Tyr Pro Tyr Thr Phe Gly Gly Gly Thr Lys Leu Glu
    43
                                              100
    44
    46 Ile Lys
    49 <210> SEQ ID NO: 2
50 <211> LENGTH: 119
    51 <212> TYPE: PRT
    52 <213> ORGANISM: Mus musculus
     54 <400> SEQUENCE: 2
    55
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                           5 .
        Thr Ser Val Lys Ile Ser Cys Lys Ala Ser Gly Phe Thr Phe Thr
                          20
    61
        Asp Tyr Thr Met Asp Trp Val Lys Gln Ser His Gly Lys Ser Leu
    62
                          35
                                               40
    64
        Glu Trp Ile Gly Asp Val Asn Pro Asn Ser Gly Gly Ser Ile Tyr
    65
                                               55
                          50
    67
        Asn Gln Arg Phe Lys Gly Lys Ala Ser Leu Thr Val Asp Arg Ser
    68
                                               70
        Ser Arg Ile Val Tyr Met Glu Leu Arg Ser Leu Thr Phe Glu Asp
```

Does Not Comply
Corrected Diskette Needed

Mr. 2.

PATENT APPLICATION: US/09/602,812 TIME: 17:25:19 Input Set : A:\P1467R2.txt Output Set: N:\CRF3\07072000\1602812.raw Thr Ala Val Tyr Tyr Cys Ala Arg Asn Leu Gly Pro Ser Phe Tyr 95 100 Phe Asp Tyr Trp Gly Gln Gly Thr Thr Leu Thr Val Ser Ser 77 110 79 <210> SEQ ID NO: I sel Lon 12 on Ena Junnary Sheet 80 <211> LENGTH: 107 81 <212> TYPE: PRT 82 <213> ORGANISM artificial 84 <400> SEQUENCE: 85 Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val 86 10 Gly Asp Arg Val Thr Ile Thr Cys Lys Ala Ser Gln Asp Val Ser 25 Ile Gly Val Ala Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys 40 Leu Leu Ile Tyr Ser Ala Ser Tyr Arg Tyr Thr Gly Val Pro Ser 95 ŝ5 50 97 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile 98 65 70 100 Ser Ser Leu Gln Pro Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln 101 80 85 103 Tyr Tyr Ile Tyr Pro Tyr Thr Phe Gly Gln Gly Thr Lys Val Glu 104 100 Ile Lys 106 109 <210> SEQ ID NO: 4 110 <211> LENGTH: 119 111 <212> TYPE: PRT 112 <213> ORGANISM: artificial 114 <220> FEATURE: 115 <221> NAME/KEY: artificial 116 <222> LOCATION: 1-119 117 <223> OTHER INFORMATION: Fab 574 VH 119 <400> SEQUENCE: 4 120 Glu Val Gln Leu Val Glu Ser Gly Gly Gly Leu Val Gln Pro Gly 121 5 Gly Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe Thr 124 126 Asp Tyr Thr Met Asp Trp Val Arg Gln Ala Pro Gly Lys Gly Leu 127 35 40 129 Glu Trp Val Ala Asp Val Asn Pro Asn Ser Gly Gly Ser Ile Tyr 130 50 55 Asn Gln Arg Phe Lys Gly Arg Phe Thr Leu Ser Val Asp Arg Ser 132 133 65 70 135 Lys Asn Thr Leu Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp 136 80 85 90 138 Thr Ala Val Tyr Tyr Cys Ala Arg Asn Leu Gly Pro Ser Phe Tyr 139 95 100 Phe Asp Tyr Trp Gly Gln Gly Thr Leu Val Thr Val Ser Ser

DATE: 07/07/2000

RAW SEQUENCE LISTING

RAW SEQUENCE LISTING DATE: 07/07/2000 PATENT APPLICATION: US/09/602,812 TIME: 17:25:19

Input Set : A:\P1467R2.txt

Output Set: N:\CRF3\07072000\1602812.raw

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142
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145 <211> LENGTH: 107
146 <212> TYPE: PRT
147 <213> ORGANISM artificial
149 <400> SEQUENCE: 5
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151
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153
154
                       20
                                            25
156
     Asn Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys
157
                                            40
159
     Leu Leu Ile Tyr Ala Ala Ser Ser Leu Glu Ser Gly Val Pro Ser
160
                       50
162
     Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile
163
                       65
165
     Ser Ser Leu Gln Pro Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln
                       80
                                            85
                                                                 90
166
     Tyr Asn Ser Leu Pro Trp Thr Phe Gly Gln Gly Thr Lys Val Glu
168
                       95
169
                                           100
                                                                105
171
     Ile Lys
174 <210> SEQ ID NO: 6
175 <211> LENGTH: 119
176 <212> TYPE: PRT
177 <213> ORGANISM: artificial
179 <400> SEQUENCE: 6
180
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181
                                            10
183
     Gly Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Thr Phe Ser
                       20
184
186
     Ser Tyr Ala Met Ser Trp Val Arg Gln Ala Pro Gly Lys Gly Leu
187
                       35
                                                                 45
                                            40
     Glu Trp Val Ala Val Ile Ser Gly Asp Gly Gly Ser Thr Tyr Tyr
189
190
                       50
                                            55
192
     Ala Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Arg Asp Asn Ser
193
                       65
                                            70
195
     Lys Asn Thr Leu Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp
196
                       80
                                            85
198
     Thr Ala Val Tyr Tyr Cys Ala Arg Gly Arg Val Gly Tyr Ser Leu
199
                       95
                                           100
     Tyr Asp Tyr Trp Gly Gln Gly Thr Leu Val Thr Val Ser Ser
201
202
                      110
                                           115
204 <210> SEQ ID NO:
205 <211> LENGTH: 10
206 <212> TYPE: PRT
207 <213> ORGANISM: Mus musculus
209 <220> FEATURE:
210 <221> NAME/KEY: unsure
211 <222> LOCATION: 10
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RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/602,812

Input Set: A:\P1467R2.txt
Output Set: N:\CRF3\07072000\1602812.raw

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212 <223> OTHER INFORMATION: unknown amino acid
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219 <211> LENGTH: 17
     220 <212> TYPE: PRT
221 <213> ORGANISM: Mus musculus
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      225
                               5
                                                      10
      227 Lys Gly
      230 <210> SEQ ID NO: 9
      231 <211> LENGTH: 10
      232 <212> TYPE: PRT
     233 <213> ORGANISM: Mus musculus
235 <400> SEQUENCE: 9
     236 Asn Leu Gly Pro Ser Phe Tyr Phe Asp Tyr 237 1 5 10
      239 <210> SEQ ID NO: 10
      240 <211> LENGTH: 11
      241 <212> TYPE: PRT
      242 <213> ORGANISM: Mus musculus
      244 <400> SEQUENCE: 10
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249 <211> LENGTH: 7
     250 <212> TYPE: PRT
251 <213> ORGANISM: Mus musculus
     253 <220> FEATURE:
254 <221> NAME/KEY: unsure
      255 <222> LOCATION: 5-7
      256 <223> OTHER INFORMATION: unknown amino acid
WDK 258 <400> SEQUENCE: 11

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     260 1
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     263 <211> LENGTH: 9
     264 <212> TYPE: PRT
265 <213> ORGANISM: Mus musculus
267 <400> SEQUENCE: 12
     268 Gln Gln Tyr Tyr Ile Tyr Pro Tyr Thr
     269
     271 <210> SEQ ID NO: 13
     272 <211> LENGTH: 645
     273 <212> TYPE: PRT
     274 <213> ORGANISM: human
     276 <400> SEQUENCE: 13
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RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/602,812
DATE: 07/07/2000
TIME: 17:25:20

Input Set : A:\P1467R2.txt
Output Set: N:\CRF3\07072000\1602812.raw

Met Glu Leu Ala Ala Leu Cys Arg Trp Gly Leu Leu Leu Ala Leu Leu Pro Pro Gly Ala Ala Ser Thr Gln Val Cys Thr Gly Thr Asp Met Lys Leu Arg Leu Pro Ala Ser Pro Glu Thr His Leu Asp Met Leu Arg His Leu Tyr Gln Gly Cys Gln Val Val Gln Gly Asn Leu Glu Leu Thr Tyr Leu Pro Thr Asn Ala Ser Leu Ser Phe Leu Gln Asp Ile Gln Glu Val Gln Gly Tyr Val Leu Ile Ala His Asn Gln Val Arg Gln Val Pro Leu Gln Arg Leu Arg Ile Val Arg Gly Thr Gln Leu Phe Glu Asp Asn Tyr Ala Leu Ala Val Leu Asp Asn Gly Asp Pro Leu Asn Asn Thr Thr Pro Val Thr Gly Ala Ser Pro Gly Gly Leu Arg Glu Leu Gln Leu Arg Ser Leu Thr Glu Ile Leu Lys Gly Gly Val Leu Ile Gln Arg Asn Pro Gln Leu Cys Tyr Gln Asp Thr Ile Leu Trp Lys Asp Ile Phe His Lys Asn Asn Gln Leu Ala Leu Thr Leu Ile Asp Thr Asn Arg Ser Arg Ala Cys His Pro Cys Ser Pro Met Cys Lys Gly Ser Arg Cys Trp Gly Glu Ser Ser Glu Asp Cys Gln Ser Leu Thr Arg Thr Val Cys Ala Gly Gly Cys Ala Arg Cys Lys Gly Pro Leu Pro Thr Asp Cys Cys His Glu Gln Cys Ala Ala Gly Cys Thr Gly Pro Lys His Ser Asp Cys Leu Ala Cys Leu His Phe Asn His Ser Gly Ile Cys Glu Leu His Cys Pro Leu Val Thr Tyr Asn Thr Asp Thr Phe Glu Ser Met Pro Asn Pro Glu Gly Arg Tyr Thr Phe Gly Ala Ser Cys Val Thr Ala Cys Pro Tyr Asn Tyr Leu Ser Thr Asp Val Gly Ser Cys Thr Leu Val Cys Pro Leu His Asn Gln Glu Val Thr Ala Glu Asp Gly Thr Gln Arg Cys Glu Lys Cys Ser Lys Pro Cys Ala Arg Val Cys Tyr Gly Leu Gly Met Glu His Leu Arg Glu Val Arg Ala Val Thr Ser Ala Asn Ile Gln Glu Phe Ala Gly Cys Lys Lys Ile Phe Gly Ser Leu Ala

DATE: 07/07/2000 TIME: 17:25:21 VERIFICATION SUMMARY
PATENT APPLICATION: US/09/602,812

Input Set : A:\P1467R2.txt
Output Set: N:\CRF3\07072000\1602812.raw

L:12 M:282 W: Numeric Field Identifier Missing, <140> CURRENT APPLICATION NUMBER: is Added. L:115 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:4 L:215 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:7 L:259 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11